

03-06-02

7/1 See author.
Letter
E. Willis
2-6-03

11003 U.S. PRO
03/04/02

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Docket No.: **F-7337**
Filing Date: **March 4, 2002**

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THE ASSISTANT COMMISSIONER FOR PATENTS
Washington, D. C. 20231

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- ☒ ATTN: BOX PATENT APPLICATION
☐ ATTN: BOX DESIGN PATENT APPLICATION
☐ ATTN: BOX PCT
☐ ATTN: BOX PROVISIONAL PATENT APPLICATION
☐ THIS IS THE 35 U.S.C 371 NATIONAL STAGE OF _____ FILED

Sir:

Transmitted herewith for filing is the ☒ Utility ☐ Design ☒ nonprovisional ☐ provisional patent application of:

Inventor / Application Identifier: **Shigeki SAKAI, et al.**

☒ See Inventor Information Sheet attached

For: **SEMICONDUCTOR NONVOLATILE STORAGE ELEMENT AND METHOD OF FABRICATING THE SAME**

- ☒ This is a new patent application.
☐ This is the 35 U.S.C. 371 National Stage Application of the above-identified PCT Application.
☐ This is a provisional patent application.
☐ This is a: ☐ Continuation Application
☐ Divisional Application
☐ Continuation-in-Part Application
of prior Application Serial No. _
☐ Cancel in this application original claims _ of the prior application before calculating the filing fee.
☐ Amend the specification by inserting before the first line the sentence:
-- This is a ☐ Continuation, ☐ Divisional, ☐ Continuation-in-part, of Application

☐ Incorporation By Reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

ENCLOSURE

| | | |
|--|----|--|
| ENCLOSED ARE THE FOLLOWING: | | |
| X | 20 | Sheets of drawings (<input checked="" type="checkbox"/> formal <input type="checkbox"/> informal size A4). |
| X | 54 | Pages of specification including abstract and claims. |
| X | 74 | Total pages. |
| Combined Declaration and Power of Attorney | | |
| | | Newly executed |
| | | Copy from prior application |
| | | Inventors deleted; see attached statement |
| Sequence Listing | | |
| | | Computer Readable Copy |
| | | Paper copy |
| | | Statement verifying identity of above copies |
| X | | Return Receipt Postcard |
| X | | Preliminary Amendment |
| Assignment to: | | |
| | | Assignment is of record in prior application Serial No. _. |
| | | Assignment Recordation Form Cover Sheet. |
| | | Charge \$40.00 to Deposit Account No. 10-1250 for recording Assignment. |
| | | Information Disclosure Statement |
| | | Information Disclosure Citation |
| | | English translation |
| X | | Application Data Sheet |

PRIORITY CLAIMS


| | | |
|---|--|---|
| | Applicant hereby claims the benefit of the filing date of the following provisional application(s) under the provision of 35 USC 119. | |
| X | Applicant hereby claims the benefit under the provisions of 35 USC 119 of the filing dates of the following applications as indicated below: Japan Patent Appln. No. 2001-90509, filed March 27, 2001, Priority Claimed of which certified copies thereof | |
| | X | will follow |
| | | are enclosed |
| | | have been filed in the International Bureau |
| | | were filed in prior application: |

CLAIMS FILED AND FILING FEE CALCULATION

| | | | | | |
|--|--------------|-------------|------------------------|---------|-------------|
| ITEM | --- | | | Rate | Applied Fee |
| [X] Base Fee - Non PCT | --- | | | \$740 | \$740 |
| [] Base Fee - PCT IPEA-US | --- | | | \$710 | |
| [] Base Fee - PCT ISA-US | --- | | | \$740 | |
| [] Base Fee - PCT not ISA or IPEA | --- | | | \$1,040 | |
| [] Base Fee - PCT with EPO or JPO Search Report | | | | \$890 | |
| [] Base Fee - Design | --- | | | \$330 | |
| [] Base Fee - Provisional | --- | | | \$160 | |
| Claim Fees | Number Filed | Base Number | Number Extra over Base | --- | |
| Total Claims | 18 | 20 | 0 | \$18 | \$0 |
| Independent Claims | 1 | 3 | 0 | \$84 | \$0 |
| Multiple Dependent Claim Fee | --- | | | \$280 | \$280 |
| [] Small Entity Status is Asserted | --- | | | | (\$0) |
| [] Foreign Language Filing Fee | --- | | | \$130 | \$0 |
| TOTAL FILING FEE | | | | | \$1,020 |

- [X] Please charge Deposit Account No. 10-1250 in the amount of the above TOTAL FILING FEE. A duplicate copy of this sheet is attached.
- [X] Please charge to Deposit Account No. 10-1250 any further fees due for filing or during prosecution of this application under: 37 CFR 1.16; 37 CFR 1.17; and 37 CFR 1.492.

JORDAN AND HAMBURG LLP

By 
Frank J. Jordan
Reg. No. 20,456
Attorney for Applicants

DECLARATION TO FOLLOW

INVENTOR INFORMATION SHEET

Docket Number: F-7337

Title: SEMICONDUCTOR NONVOLATILE STORAGE ELEMENT AND METHOD OF
FABRICATING THE SAME

Filing Date: 3/4/02

| | | | |
|--|--------------------------------------|--|-------------------------------------|
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